

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3250977
<b>Application Number:</b>	09786736
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	4260
<b>Title of Invention:</b>	Communication of credit filtered prices in an electronic brokerage system
<b>First Named Inventor/Applicant Name:</b>	Gregory D. Mills
<b>Correspondence Address:</b>	STEVEN I. WEISBURD DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP 1177 AVENUE OF THE AMERICAS 41 ST FLOOR NEW YORK NY 10036-2714 US 212-835-1400 -
<b>Filer:</b>	Joseph W. Ragusa/Haydee Cruz
<b>Filer Authorized By:</b>	Joseph W. Ragusa
<b>Attorney Docket Number:</b>	P/3331-217
<b>Receipt Date:</b>	05-MAY-2008
<b>Filing Date:</b>	18-JUN-2001
<b>Time Stamp:</b>	10:16:31
<b>Application Type:</b>	U.S. National Stage under 35 USC 371

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$3040

RAM confirmation Number	5887				
Deposit Account					
Authorized User					
<b>File Listing:</b>					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		E3331_0217Document.pdf	5574440 938310b2b0c1161647065496e05628b2b5c73d7	yes	20
<b>Multipart Description/PDF files in .zip description</b>					
	<b>Document Description</b>	<b>Start</b>	<b>End</b>		
	Request for Continued Examination (RCE)	1	1		
	Extension of Time	2	2		
	Amendment Submitted/Entered with Filing of CPA/RCE	3	20		
<b>Warnings:</b>					
<b>Information:</b>					
2	Fee Worksheet (PTO-06)	fee-info.pdf	8355 1942b42a599740ed3260da319696abd7192f1bc	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			5582795		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>          If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>          If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>          If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					